
Electronic Questionnaires for Investigations Processing (e-QIP) Investigation Request #19357842

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The information contained in this document represents data submitted by **Casey Matthew Addy** (Applicant) for the **e-QIP Investigation Request #19357842**. Applicant certified the accuracy of this information at **2015-09-30 00:12:05**.

This Investigation Request contains the following documents:

Page 1: Investigation Request Cover Sheet

Page 2-46: Questionnaire For National Security Positions

Note: To conserve paper only the first entry in multiple-entry lists displays completion instructions. The completion instructions for the first entry also applies to each additional entry unless otherwise noted.

Form Completion Instructions

Questionnaire for National Security Positions

Follow instructions completely or your form will be unable to be processed. If you have any questions, contact the office that provided you the form.

All questions on this form must be answered **completely and truthfully** in order that the Government may make the determinations described below on a complete record. Penalties for inaccurate or false statements are discussed below. **If you are a current civilian employee of the federal government:** failure to answer any questions completely and truthfully could result in an adverse personnel action against you, including loss of employment; with respect to Sections 23, 27, and 29, however, neither your truthful responses nor information derived from those responses will be used as evidence against you in a subsequent criminal proceeding.

Purpose of this Form

This form will be used by the United States (U.S.) Government in conducting background investigations, reinvestigations, and continuous evaluations of persons under consideration for, or retention of, national security positions as defined in 5 CFR 732, and for individuals requiring eligibility for access to classified information under Executive Order 12968. This form may also be used by agencies in determining whether a subject performing work for, or on behalf of, the Government under a contract should be deemed eligible for logical or physical access when the nature of the work to be performed is sensitive and could bring about an adverse effect on the national security.

Providing this information is voluntary. If you do not provide each item of requested information, however, we will not be able to complete your investigation, which will adversely affect your eligibility for a national security position, eligibility for access to classified information, or logical or physical access. It is imperative that the information provided be true and accurate, to the best of your knowledge. Any information that you provide is evaluated on the basis of its currency, seriousness, relevance to the position and duties, and consistency with all other information about you. Withholding, misrepresenting, or falsifying information may affect your eligibility for access to classified information, eligibility for a sensitive position, or your ability to obtain or retain Federal or contract employment. In addition, withholding, misrepresenting, or falsifying information may affect your eligibility for physical and logical access to federally controlled facilities or information systems. Withholding, misrepresenting, or falsifying information may also negatively affect your employment prospects and job status, and the potential consequences include, but are not limited to, removal, debarment from Federal service, loss of eligibility for access to classified information, or prosecution.

This form is a permanent document that may be used as the basis for future investigations, eligibility determinations for access to classified information, or to hold a sensitive position, suitability or fitness for Federal employment, fitness for contract employment, or eligibility for physical and logical access to federally controlled facilities or information systems. Your responses to this form may be compared with your responses to previous SF-86 questionnaires.

The investigation conducted on the basis of information provided on this form may be selected for studies and analyses in support of evaluating and improving the effectiveness and efficiency of the investigative and adjudicative methodologies. All study results released to the general public will delete personal identifiers such as name, social security number, and date and place of birth.

Authority to Request this Information

Depending upon the purpose of your investigation, the U.S. Government is authorized to ask for this information under Executive Orders 10450, 10865, 12333, and 12968; sections 3301, 3302, and 9101 of title 5, United States Code (U.S.C.); sections 2165 and 2201 of title 42, U.S.C.; chapter 23 of title 50, U.S.C.; and parts 2, 5, 731, 732, and 736 of title 5, Code of Federal Regulations (CFR).

Your Social Security Number (SSN) is needed to identify records unique to you. Although disclosure of your SSN is not mandatory, failure to disclose your SSN may prevent or delay the processing of your background investigation. The authority for soliciting and verifying your SSN is Executive Order 9397.

The Investigative Process

Background investigations for national security positions are conducted to gather information to determine whether you are reliable, trustworthy, of good conduct and character, and loyal to the U.S. The information that you provide on this form may be confirmed during the investigation. The investigation may extend beyond the time covered by this form, when necessary to resolve issues. Your current employer may be contacted as part of the investigation, although you may have previously indicated on applications or other forms that you do not want your current employer to be contacted. If you have a security freeze on your consumer or credit report file, then we may not be able to complete your investigation, which can adversely affect your eligibility for a national security position. To avoid such delays, you should request that the consumer reporting agencies lift the freeze in these instances.

In addition to the questions on this form, inquiry also is made about your adherence to security requirements, honesty and integrity, vulnerability to exploitation or coercion, falsification, misrepresentation, and any other behavior, activities, or associations that tend to demonstrate a person is not reliable, trustworthy, or loyal. Federal agency records checks may be conducted on your spouse, cohabitant(s), and immediate family members. After an eligibility determination has been completed, you also may be subject to continuous evaluation, which may include periodic reinvestigations, to determine whether retention in your position is clearly consistent with the interests of national security.

Your Personal Interview

Some investigations will include an interview with you as a routine part of the investigative process. The investigator may ask you to explain your answers to any question on this form. This provides you the opportunity to update, clarify, and explain information on your form more completely, which often assists in completing your investigation. It is imperative that the interview be conducted immediately after you are contacted. Postponements will delay the processing of your investigation, and declining to be interviewed may result in your investigation being delayed or canceled.

For the interview, you will be required to provide photo identification, such as a valid state driver's license. You may be required to provide other documents to verify your identity, as instructed by your investigator. These documents may include certification of any legal name change, Social Security card, passport, and/or your birth certificate. You may also be asked to provide documents regarding information that you provide on this form, or about other matters requiring specific attention. These matters include (a) alien registration or naturalization documentation; (b) delinquent loans or taxes, bankruptcies, judgments, liens, or other financial obligations; (c) agreements involving child custody or support, alimony, or property settlements; (d) arrests, convictions, probation, and/or parole; or (e) other matters described in court records.

Instructions for Completing this Form

1. Follow the instructions provided to you by the office that gave you this form and any other clarifying instructions, provided by that office, to assist you with completion of this form. You must sign and date, in ink, the original and each copy you submit. **You should retain a copy of the completed form for your records.**
2. All questions on this form must be answered. If no response is necessary or applicable, indicate this on the form by checking the associated "Not Applicable" box, unless otherwise noted.
3. Do not abbreviate the names of cities or foreign countries. Whenever you are asked to supply a country name, you may select the country name by using the country dropdown feature.
4. When entering a U.S. address or location, select the state or territory from the "States" dropdown list that will be provided. For locations outside of the U.S. and its territories, select the country in the "Country" dropdown list and leave the "State" field blank.
5. Do not abbreviate the names of cities or foreign countries.
6. The 5-digit postal Zip Codes are required to process your investigation more rapidly. Refer to an automated system approved by the U.S. Postal Service to assist you with Zip Codes.
7. For telephone numbers in the U.S., ensure that the area code is included.
8. All dates provided in this form must be in Month/Day/Year or Month/Year format. Use the dropdown lists to select the month and day. The year should be entered as a four character number (i.e., 1978 or 2001.), or selected from a dropdown list. If you are unable to report an exact date, approximate or estimate the date to the best of your ability, and indicate this by checking the "Est." box.

Final Determination on Your Eligibility

Final determination on your eligibility for a national security position is the responsibility of the Federal agency that requested your investigation and the agency that conducted your investigation. You will be provided the opportunity to explain, refute, or clarify any information before a final decision is made, if an unfavorable decision is considered. The United States Government does not discriminate on the basis of race, color, religion, sex, national origin, disability, or sexual orientation when granting access to classified information.

Penalties for Inaccurate or False Statements

The U.S. Criminal Code (title 18, section 1001) provides that knowingly falsifying or concealing a material fact is a felony which may result in fines and/or up to **five (5)** years imprisonment. In addition, Federal agencies generally fire, do not grant a security clearance, or disqualify individuals who have materially and deliberately falsified these forms, and this remains a part of the permanent record for future placements. Your prospects of placement or security clearance are better if you answer all questions truthfully and completely. You will have adequate opportunity to explain any information you provide on this form and to make your comments part of the record.

Disclosure Information

The information you provide is for the purpose of investigating you for a national security position, and the information will be protected from unauthorized disclosure. The collection, maintenance, and disclosure of background investigative information are governed by the Privacy Act. The agency that requested the investigation and the agency that conducted the investigation have published notices in the Federal Register describing the systems of records in which your records will be maintained. The information you provide on this form, and information collected during an investigation, may be disclosed without your consent by an agency maintaining the information in a system of records as permitted by the Privacy Act [5 U.S.C. 552a(b)], and by routine uses, a list of which are published by the agency in the Federal Register. The office that gave you this form will provide you a copy of its routine uses.

Privacy Act Routine Uses

1. To the Department of Justice when: (a) the agency or any component thereof; or (b) any employee of the agency in his or her official capacity; or (c) any employee of the agency in his or her individual capacity where the Department of Justice has agreed to represent the employee; or (d) the United States Government, is a party to litigation or has interest in such litigation, and by careful review, the agency determines that the records are both relevant and necessary to the litigation and the use of such records by the Department of Justice is therefore deemed by the agency to be for a purpose that is compatible with the purpose for which the agency collected the records.
2. To a court or adjudicative body in a proceeding when: (a) the agency or any component thereof; or (b) any employee of the agency in his or her official capacity; or (c) any employee of the agency in his or her individual capacity where the Department of Justice has agreed to represent the employee; or (d) the United States Government is a party to litigation or has interest in such litigation, and by careful review, the agency determines that the records are both relevant and necessary to the litigation and the use of such records is therefore deemed by the agency to be for a purpose that is compatible with the purpose for which the agency collected the records.
3. Except as noted in Sections 23 and 27, when a record on its face, or in conjunction with other records, indicates a violation or potential violation of law, whether civil, criminal, or regulatory in nature, and whether arising by general statute, particular program statute, regulation, rule, or order issued pursuant thereto, the relevant records may be disclosed to the appropriate Federal, foreign, State, local, tribal, or other public authority responsible for enforcing, investigating or prosecuting such violation or charged with enforcing or implementing the statute, rule, regulation, or order.
4. To any source or potential source from which information is requested in the course of an investigation concerning the hiring or retention of an employee or other personnel action, or the issuing or retention of a security clearance, contract, grant, license, or other benefit, to the extent necessary to identify the individual, inform the source of the nature and purpose of the investigation, and to identify the type of information requested.

5. To a Federal, State, local, foreign, tribal, or other public authority the fact that this system of records contains information relevant to the retention of an employee, or the retention of a security clearance, contract, license, grant, or other benefit. The other agency or licensing organization may then make a request supported by written consent of the individual for the entire record if it so chooses. No disclosure will be made unless the information has been determined to be sufficiently reliable to support a referral to another office within the agency or to another Federal agency for criminal, civil, administrative, personnel, or regulatory action.
6. To contractors, grantees, experts, consultants, or volunteers when necessary to perform a function or service related to this record for which they have been engaged. Such recipients shall be required to comply with the Privacy Act of 1974, as amended.
7. To the news media or the general public, factual information the disclosure of which would be in the public interest and which would not constitute an unwarranted invasion of personal privacy.
8. To a Federal, State, or local agency, or other appropriate entities or individuals, or through established liaison channels to selected foreign governments, in order to enable an intelligence agency to carry out its responsibilities under the National Security Act of 1947 as amended, the CIA Act of 1949 as amended, Executive Order 12333 or any successor order, applicable national security directives, or classified implementing procedures approved by the Attorney General and promulgated pursuant to such statutes, orders or directives.
9. To a Member of Congress or to a Congressional staff member in response to an inquiry of the Congressional office made at the written request of the constituent about whom the record is maintained.
10. To the National Archives and Records Administration for records management inspections conducted under 44 U.S.C. 2904 and 2906.
11. To the Office of Management and Budget when necessary to the review of private relief legislation.

Public Burden Information

Public burden reporting for this collection of information is estimated to average 150 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to U.S. Office of Personnel Management, Federal Investigative Services, Attn: OMB Number 3206-0005, 1900 E Street N.W., Washington, DC 20415. The OMB clearance number, 3206-0005, is currently valid. OPM may not collect this information, and you are not required to respond, unless this number is displayed.

Statement of Understanding

I have read the instructions and I understand that if I withhold, misrepresent, or falsify information on this form, I am subject to the penalties for inaccurate or false statement (per U.S. Criminal Code, Title 18, section 1001), denial or revocation of a security clearance, and/or removal and debarment from Federal Service.

Yes: { ☒ } No: { ☐ }

Sections 1-4 - Identifying Information

Provide your full name. If you have only initials in your name, provide them and indicate "Initial only". If you do not have a middle name, indicate "No Middle Name". If you are a "Jr.," "Sr.," etc. enter this under Suffix.

Last: Addy First: Casey Middle: Matthew Suffix:

Provide your date of birth

Month/Day/Year: 11/15/1985

Provide your place of birth

City: Meriden County: New Haven State: CT Country: United States

Provide your U.S. Social Security Number (Not Applicable: { })

040 - 84 - 2385

Section 5 - Other Names Used

Provide your other names used and the period of time you used them (for example: your maiden name, name(s) by a former marriage(s), former name(s), alias(es), or nickname(s)).

Have you used any other names?

Yes: { } No: { **x** }

Section 6 - Your Identifying Information

Provide your identifying information.

Height

(feet): 5

(inches): 7

Weight: 150

Hair color: Brown

Eye color: Brown

Sex

Female: { }

Male: { **x** }

Section 7 - Your Contact Information

Provide your contact information.

Home e-mail address: caseymaddy@gmail.com

Work e-mail address: cmaddy@sandia.gov

Home telephone number

International or DSN: { } Number: 2035374480 Extension: Time: Both

Work telephone number

International or DSN: { } Number: 5058457554 Extension: Time: Day

Mobile/Cell telephone number

International or DSN: { } Number: 2035374480 Extension: Time: Both

Optional Comment

I leave my cell phone (2035374480) turned off and not on my person on weekdays to ensure that I do not bring it into the Tech Areas.

Section 8 - U.S. Passport Information

Do you possess a U.S. passport (current or expired)?

Yes: { **x** } No: { }

Click [HERE](#) for U.S. State Department passport help.

Detail

Provide the following information for the most recent U.S. passport you currently possess.

Provide your U.S. passport number: 455635947

Click [HERE](#) for U.S. State Department passport help.

Provide the issue date of passport

Month/Day/Year: 06/09/2009

Provide the expiration date of passport

Month/Day/Year: 06/08/2019

Provide the name in which passport was first issued

Last: Addy First: Casey Middle: Matthew Suffix:

Section 9 - Citizenship

Select the box that reflects your current citizenship status and click Save.

Provide your current citizenship status

I am a U.S. citizen or national by birth in the U.S. or U.S. territory/commonwealth.: ☒

I am a U.S. citizen or national by birth, born to U.S. parent(s), in a foreign country.: ☐

I am a naturalized U.S. citizen.: ☐

I am not a U.S. citizen.: ☐

Section 10 - Dual/Multiple Citizenship Information

Do you now or have you **EVER** held dual/multiple citizenships?

Yes: ☐ No: ☒

Foreign Passport

Have you **EVER** been issued a passport (or identity card for travel) by a country other than the U.S.?

Yes: ☐ No: ☒

Section 11 - Where You Have Lived

List the places where you have lived beginning with your present residence and working back **10 years**.

Residences for the entire period must be accounted for without breaks. Indicate the actual physical location of your residence, not a Post Office box or a permanent residence when you were not physically located there. If you split your time between one or more residences during a time period, you must list all residences. Do not list residence before your 18th birthday unless to provide a minimum of 2 years residence history.

You are not required to list temporary locations of less than 90 days that did not serve as your permanent or mailing address.

For any address in the last 3 years, provide a person who knew you at that address, and who preferably still lives in that area. Do not list people who knew you well for residences completely outside this 3-year period, and do not list your spouse, cohabitant or other relatives.

-
1. Enter residence information.

Provide dates of residence

From (Month/Year): **08/2015 (Estimated)** To (Month/Year): **Present**

Is/was this residence

Owned by you: ☐

Rented or leased by you: ☒

Military housing: ☐

Other (Provide explanation): ☐

Explanation

I am renting an apartment while working at my current job

Provide the street address

Street: **7100 Natalie Ave NE Apt 112**

City: **Albuquerque** State: **NM** Country: Zip Code: **87110**

Person who knew you

Provide the name of a neighbor or other person who knows you at this address.

Provide the full name

Last: **Miller** First: **Claire** Middle: **(NMN)** Suffix:

Provide date of last contact

Month/Year: **09/2015**

Provide your relationship to this person (check all that apply)

Neighbor: { }
Friend: { }
Landlord: { }
Business associate: { }
Other (Provide explanation): { x }

Explanation

Claire is my landlord

Provide the following contact information for this person

Provide evening telephone number for this person (I don't know: { x })

International or DSN: { } Number: Extension:

Provide daytime telephone number for this person (I don't know: { x })

International or DSN: { } Number: Extension:

Provide cell/mobile telephone number for this person (I don't know: { })

International or DSN: { } Number: **5056107816** Extension:

Provide e-mail address for this person (I don't know: { x }) :

Provide street address for this person (including apartment number)

Street: **7100 Natalie Ave NE**

City: **Albuquerque** State: **NM** Country: Zip Code: **87110**

Optional Comment

The address is the address of the leasing office, as I don't have her address

2.

Provide dates of residence

From (Month/Year): **11/2012 (Estimated)** To (Month/Year): **08/2015 (Estimated)**

Is/was this residence

Owned by you: { }
Rented or leased by you: { x }
Military housing: { }
Other (Provide explanation): { }

Explanation

I rented an apartment while I was living in Illinois for my job

Provide the street address

Street: **14 Basil Way Apt 16**

City: **Bloomington** State: **IL** Country: Zip Code: **61705**

Person who knew you

Provide the full name

Last: **Allen** First: **Jennifer** Middle: **(NMN)** Suffix:

Provide date of last contact

Month/Year: **09/2015**

Provide your relationship to this person (check all that apply)

Neighbor: { }
Friend: { x }
Landlord: { }
Business associate: { }
Other (Provide explanation): { }

Explanation

Provide evening telephone number for this person (I don't know: { x })

International or DSN: { } Number: Extension:

Provide daytime telephone number for this person (I don't know: { })

International or DSN: { } Number: **8123278434** Extension:

Provide cell/mobile telephone number for this person (I don't know: { })
International or DSN: { } Number: **8123278434** Extension:
Provide e-mail address for this person (I don't know: { }) : **jenjeall@gmail.com**
Provide street address for this person (including apartment number)
Street: **2201 General Electric Road Apt 18**
City: **Bloomington** State: **IL** Country: Zip Code: **61704**

3. Provide dates of residence
From (Month/Year): **05/2010 (Estimated)** To (Month/Year): **11/2012 (Estimated)**

Is/was this residence
Owned by you: { }
Rented or leased by you: { x }
Military housing: { }
Other (Provide explanation): { }

Explanation
I rented an apartment in Kentucky while working at my job.

Provide the street address
Street: **3050 Helmsdale Place Apt 6106**
City: **Lexington** State: **KY** Country: Zip Code: **40509**

Person who knew you

Provide the full name
Last: **Travis** First: **Adam** Middle: **(NMN)** Suffix:

Provide date of last contact
Month/Year: **09/2015**

Provide your relationship to this person (check all that apply)

Neighbor: { }
Friend: { }
Landlord: { }
Business associate: { }
Other (Provide explanation): { x }

Explanation
Colleague i worked with at Lexmark

Provide evening telephone number for this person (I don't know: { x })
International or DSN: { } Number: Extension:

Provide daytime telephone number for this person (I don't know: { })
International or DSN: { } Number: **6066435002** Extension:

Provide cell/mobile telephone number for this person (I don't know: { x })
International or DSN: { } Number: Extension:

Provide e-mail address for this person (I don't know: { }) : **prosilversystems@gmail.com**

Provide street address for this person (including apartment number)
Street: **91 Sparks Ave**
City: **Irvine** State: **KY** Country: Zip Code: **40336**

4. Provide dates of residence
From (Month/Year): **08/2008 (Estimated)** To (Month/Year): **05/2010 (Estimated)**

Is/was this residence
Owned by you: { }
Rented or leased by you: { x }
Military housing: { }
Other (Provide explanation): { }

Explanation

I rented an apartment while I was working on Master's degree

Provide the street address

Street: **703 W Gourley Pike Apt 178**

City: **Bloomington** State: **IN** Country: Zip Code: **47404**

5. Provide dates of residence
From (Month/Year): **01/2005 (Estimated)** To (Month/Year): **08/2008 (Estimated)**
Is/was this residence
Owned by you: { }
Rented or leased by you: { }
Military housing: { }
Other (Provide explanation): { x }

Explanation

I lived in my parent's home when college was not in session

Provide the street address

Street: **122 Fox Run Ct**

City: **Newington** State: **CT** Country: Zip Code: **06111**

6. Provide dates of residence
From (Month/Year): **08/2006 (Estimated)** To (Month/Year): **05/2008 (Estimated)**
Is/was this residence
Owned by you: { }
Rented or leased by you: { }
Military housing: { }
Other (Provide explanation): { x }

Explanation

This is the dorm in which I resided while working on my Bachelor's degrees

Provide the street address

Street: **103 Sharp Hall, RPI**

City: **Troy** State: **NY** Country: Zip Code: **12180**

Optional Comment

This was my address during the fall and spring semester; I resided in my CT address during the summer and breaks in school.

(End of List)

Summary

List the places where you have lived beginning with your present residence and working back **10 years**. Residences for the entire period must be accounted for without breaks. Indicate the actual physical location of your residence, not a Post Office box or a permanent residence when you were not physically located there. If you split your time between one or more residences during a time period, you must list all residences. Do not list residence before your 18th birthday unless to provide a minimum of 2 years residence history.

You are not required to list temporary locations of less than 90 days that did not serve as your permanent or mailing address.

For any address in the last 3 years, provide a person who knew you at that address, and who preferably still lives in that area. Do not list people who knew you well for residences completely outside this 3-year period, and do not list your spouse, cohabitant or other relatives.

Do you have an additional residence to report?

Yes: { } No: { x }

Section 12 - Where You Went To School

Certified at 2015-09-30 00:12:05

Data Hash Code:

3332537940833f8bc391e0a3f28e0fa9a356ddb19108e31529b04f870ebb0482

PRIVACY ACT INFORMATION

Do not list education before your 18th birthday, unless to provide a minimum of two years education history.

Have you attended any schools in the last 10 years?

Yes: { x } No: { }

Optional Comment

I have attended 2 colleges in the past 10 years

1. Provide the dates of attendance

From (Month/Year): **08/2004 (Estimated)** To (Month/Year): **05/2008 (Estimated)**

Select the most appropriate code to describe your school

High School: { }

College/University/Military College: { x }

Vocational/Technical/Trade School: { }

Correspondence/Distance/Extension/Online School: { }

Provide the name of the school: **Rensselaer Polytechnic Institute**

Provide the street address of the school. For correspondence/distance/extension/online schools, provide the address where the records are maintained

Street: **110 8th Street**

City: **Troy** State: **NY** Country: Zip Code: **12180**

Optional Comment

I have received two degrees from this university

Degree or Diploma Received

Did you receive a degree/diploma?

Yes: { x } No: { }

Degree/Diploma Detail

Provide type of degrees(s)/diploma(s) received and date(s) awarded

1. Degree/diploma: **Bachelor's**

Other degree/diploma:

Date awarded

Month/Year: **05/2008**

2. Degree/diploma: **Bachelor's**

Other degree/diploma:

Date awarded

Month/Year: **05/2008**

(End of Provide type of degrees(s)/diploma(s) received and date(s) awarded List)

Optional Comment

One is a BS in Electronic Media, Arts, and Communication; one is a BS in Computer Science

2. Provide the dates of attendance

From (Month/Year): **08/2008 (Estimated)** To (Month/Year): **05/2010 (Estimated)**

Select the most appropriate code to describe your school

High School: { }

College/University/Military College: { x }

Vocational/Technical/Trade School: { }

Correspondence/Distance/Extension/Online School: { }

Provide the name of the school: **Indiana University**

Provide the street address of the school. For correspondence/distance/extension/online schools, provide the address where the records are maintained

Street: **300 N Jordan Ave**

City: **Bloomington** State: **IN** Country: Zip Code: **47405**

Degree or Diploma Received

Did you receive a degree/diploma?

Yes: { **x** } No: { }

Degree/Diploma Detail

Provide type of degrees(s)/diploma(s) received and date(s) awarded

-
1. Degree/diploma: **Master's**
Other degree/diploma:
Date awarded
Month/Year: **05/2010**

(End of Provide type of degrees(s)/diploma(s) received and date(s) awarded List)

(End of List)

Summary

Do you have additional education to enter (include education within the last 10 years, as well as degrees or diplomas more than 10 years ago)?

Yes: { } No: { **x** }

Section 13A - Employment Activities

List all of your employment activities, including unemployment and self-employment, beginning with the present and working back 10 years. The entire period must be accounted for without breaks. If the employment activity was military duty, list separate employment activity periods to show each change of military duty station. Do not list employment before your 18th birthday unless to provide a minimum of 2 years employment history.

-
1. Select your employment activity: **Federal Contractor**
Explanation

I am working with AIS for Sandia National Labs. My Sandia National Labs supervisor is Stephanie Balderrama, 1515 Eubank Blvd SE, M/S 1325, Albuquerque, NM 87123. Her phone is 505 967 6156.

Provide dates of employment

From (Month/Year): **08/2015** To (Month/Year): **Present**

Non-Military Employment

Provide most recent position title: **Web Designer and Developer**

Select the employment status for this position

Full-time: { **x** }

Part-time: { }

Provide the name of your employer: **AIS**

Provide the address of employer

Street: **2 Eaton Street Suite 900**

City: **Hampton** State: **VA** Country: Zip Code: **23669**

Provide telephone number

International or DSN: { } Number: **7038535348** Extension: Time:

Additional Periods of Activity with this Employer

Provide additional periods of activity if you worked for this employer on more than one occasion at the same physical location. For example, if you worked at XY Plumbing in Denver, CO, during 3 separate periods of time, you would enter information concerning the most recent period of employment above, and provide dates, position titles, and supervisors for the two previous periods of employment as entries below.

Additional Periods of Activity with this Employer (Not Applicable: { x })

(No Entry Provided)

Non-Military Employment - Physical Location Question

Is/was your physical work address different than your employer's address?

Yes: { x } No: { }

Non-Military Employment - Physical Location

Provide the work address where you are/were physically located

Street: **1515 Eubank Blvd SE M/S 1325**

City: **Albuquerque** State: **NM** Country: Zip Code: **87123**

Provide telephone number

International or DSN: { } Number: **2035374480** Extension: Time:

Optional Comment

I don't remember my work phone number

Non-Military Employment - Supervisor

Provide the name of your supervisor: **Stephanie Balderrama**

Provide the position title of your supervisor: **Manager**

Provide the email address of your supervisor (I don't know: { x }) :

Provide the physical work location of your supervisor

Street: **1515 Eubank Blvd SE M/S 1325**

City: **Albuquerque** State: **NM** Country: Zip Code: **87123**

Provide the telephone number for this supervisor

International or DSN: { } Number: **5059676156** Extension: Time:

Received Discipline or Warning

For this employment, **in the last seven (7) years** have you received a written warning, been officially reprimanded, suspended, or disciplined for misconduct in the workplace, such as a violation of security policy?

Yes: { } No: { x }

-
2. Select your employment activity: **Non-government employment (excluding self-employment)**

Explanation

This is my job at State Farm

Provide dates of employment

From (Month/Year): **11/2012** To (Month/Year): **08/2015**

Non-Military Employment

Provide most recent position title: **Interface Design Specialist**

Select the employment status for this position

Full-time: { x }

Part-time: { }

Provide the name of your employer: **CGN**

Provide the address of employer

Street: **415 SW Washington St**

City: **Peoria** State: **IL** Country: Zip Code: **61602**

Provide telephone number

International or DSN: { } Number: **3094952100** Extension: Time:

Additional Periods of Activity with this Employer (Not Applicable: { x })

(No Entry Provided)

Non-Military Employment - Physical Location Question

Is/was your physical work address different than your employer's address?

Yes: { x } No: { }

Non-Military Employment - Physical Location

Provide the work address where you are/were physically located

Street: **1 State Farm Plaza**

City: **Bloomington** State: **IL** Country: Zip Code: **61705**

Provide telephone number

International or DSN: { } Number: **3097662311** Extension: Time:

Optional Comment

I worked in Corporate South Building M, not in the corporate building

Non-Military Employment - Supervisor

Provide the name of your supervisor: **Patrick Dierker**

Provide the position title of your supervisor: **Account Manager**

Provide the email address of your supervisor (I don't know: { }) : **patrick.dierker@cgnglobal.com**

Provide the physical work location of your supervisor

Street: **415 SW Washington St**

City: **Peoria** State: **IL** Country: Zip Code: **61602**

Provide the telephone number for this supervisor

International or DSN: { } Number: **3094952100** Extension: Time:

Reason for Leaving

Provide the reason for leaving the employment activity

Found new position

Reason for Leaving Question

For this employment have any of the following happened to you **in the last seven (7) years?**

- Fired
- Quit after being told you would be fired
- Left by mutual agreement following charges or allegations of misconduct
- Left by mutual agreement following notice of unsatisfactory performance

Yes: { } No: { x }

Received Discipline or Warning

For this employment, **in the last seven (7) years** have you received a written warning, been officially reprimanded, suspended, or disciplined for misconduct in the workplace, such as a violation of security policy?

Yes: { } No: { x }

-
3. Select your employment activity: **Non-government employment (excluding self-employment)**

Explanation

This is my work at Lexmark through AeroTek

Provide dates of employment

From (Month/Year): **05/2010 (Estimated)** To (Month/Year): **11/2012 (Estimated)**

Non-Military Employment

Provide most recent position title: **Human Factors Engineer, Intermediate**

Select the employment status for this position

Full-time: **{ x }**

Part-time: **{ }**

Provide the name of your employer: **AeroTek**

Provide the address of employer

Street: **2452 Sir Barton Way #201**

City: **Lexington** State: **KY** Country: Zip Code: **40509**

Provide telephone number

International or DSN: **{ }** Number: **8594755001** Extension: Time:

Additional Periods of Activity with this Employer (Not Applicable: **{ x }**)

(No Entry Provided)

Optional Comment

I list "Experience Designer" on my resume, as that was my work, rather than Human Factors work

Non-Military Employment - Physical Location Question

Is/was your physical work address different than your employer's address?

Yes: **{ x }** No: **{ }**

Non-Military Employment - Physical Location

Provide the work address where you are/were physically located

Street: **740 New Circle Rd**

City: **Lexington** State: **KY** Country: Zip Code: **40511**

Provide telephone number

International or DSN: **{ }** Number: **8592322000** Extension: Time:

Non-Military Employment - Supervisor

Provide the name of your supervisor: **Jeff Elhert**

Provide the position title of your supervisor: **Manager**

Provide the email address of your supervisor (I don't know: **{ x }**) :

Provide the physical work location of your supervisor

Street: **740 New Circle Rd**

City: **Lexington** State: **KY** Country: Zip Code: **40511**

Provide the telephone number for this supervisor

International or DSN: **{ }** Number: **8592322000** Extension: Time:

Reason for Leaving

Provide the reason for leaving the employment activity

New contract work

Reason for Leaving Question

For this employment have any of the following happened to you **in the last seven (7) years?**

- Fired
- Quit after being told you would be fired
- Left by mutual agreement following charges or allegations of misconduct
- Left by mutual agreement following notice of unsatisfactory performance

Yes: **{ }** No: **{ x }**

Received Discipline or Warning

For this employment, **in the last seven (7) years** have you received a written warning, been officially reprimanded, suspended, or disciplined for misconduct in the workplace, such as a violation of security policy?

Yes: { } No: { x }

4. Select your employment activity: **Non-government employment (excluding self-employment)**
Explanation

This is my GameZombie.tv job

Provide dates of employment

From (Month/Year): **01/2009** To (Month/Year): **05/2010**

Non-Military Employment

Provide most recent position title: **Lead Web Designer**

Select the employment status for this position

Full-time: { x }

Part-time: { }

Provide the name of your employer: **GameZombie.tv**

Provide the address of employer

Street: **1229 East 7th St**

City: **Bloomington** State: **IN** Country: Zip Code: **47405**

Provide telephone number

International or DSN: { } Number: **8128554848** Extension: Time:

Additional Periods of Activity with this Employer (Not Applicable: { x })

(No Entry Provided)

Optional Comment

This is the IU school of telecommunications address, as GameZombie.tv resided here

Non-Military Employment - Physical Location Question

Is/was your physical work address different than your employer's address?

Yes: { } No: { x }

Non-Military Employment - Supervisor

Provide the name of your supervisor: **Spencer Striker**

Provide the position title of your supervisor: **CEO**

Provide the email address of your supervisor (I don't know: { }) : **spencerstriker@gmail.com**

Provide the physical work location of your supervisor

Street: **1229 East 7th St**

City: **Bloomington** State: **IN** Country: Zip Code: **47405**

Provide the telephone number for this supervisor

International or DSN: { } Number: **8128554848** Extension: Time:

Optional Comment

I don't have a phone number for him and have provided a general contact number for IU. I don't know where he lives now and included the same location as the company.

Reason for Leaving

Provide the reason for leaving the employment activity

Graduated

Reason for Leaving Question

For this employment have any of the following happened to you **in the last seven (7) years**?

- Fired

- Quit after being told you would be fired
 - Left by mutual agreement following charges or allegations of misconduct
 - Left by mutual agreement following notice of unsatisfactory performance
- Yes: { } No: { x }

Received Discipline or Warning

For this employment, **in the last seven (7) years** have you received a written warning, been officially reprimanded, suspended, or disciplined for misconduct in the workplace, such as a violation of security policy?

Yes: { } No: { x }

-
5. Select your employment activity: **Non-government employment (excluding self-employment)**
Explanation

Provide dates of employment

From (Month/Year): **08/2008** To (Month/Year): **05/2010**

Non-Military Employment

Provide most recent position title: **Associate Instructor**

Select the employment status for this position

Full-time: { x }

Part-time: { }

Provide the name of your employer: **IU School of Informatics**

Provide the address of employer

Street: **919 E 10th Street**

City: **Bloomington** State: **IN** Country: Zip Code: **47408**

Provide telephone number

International or DSN: { } Number: **8128565754** Extension: Time:

Additional Periods of Activity with this Employer (Not Applicable: { x })

(No Entry Provided)

Non-Military Employment - Physical Location Question

Is/was your physical work address different than your employer's address?

Yes: { } No: { x }

Non-Military Employment - Supervisor

Provide the name of your supervisor: **Marty Siegel**

Provide the position title of your supervisor: **Professor**

Provide the email address of your supervisor (I don't know: { x }) :

Provide the physical work location of your supervisor

Street: **919 E 10th Street Informatics East 355B**

City: **Bloomington** State: **IN** Country: Zip Code: **47408**

Provide the telephone number for this supervisor

International or DSN: { } Number: **8128561103** Extension: Time:

Optional Comment

I didn't have a direct supervisor and I have listed the department chair

Reason for Leaving

Provide the reason for leaving the employment activity

Graduated

Reason for Leaving Question

For this employment have any of the following happened to you **in the last seven (7) years?**

- Fired
- Quit after being told you would be fired
- Left by mutual agreement following charges or allegations of misconduct
- Left by mutual agreement following notice of unsatisfactory performance

Yes: { } No: { x }

Received Discipline or Warning

For this employment, **in the last seven (7) years** have you received a written warning, been officially reprimanded, suspended, or disciplined for misconduct in the workplace, such as a violation of security policy?

Yes: { } No: { x }

-
6. Select your employment activity: **Unemployment**
Explanation

Provide dates of employment

From (Month/Year): **05/2008** To (Month/Year): **08/2008**

Unemployment

Provide the name of someone who can verify your unemployment activities and means of support

Last: **Addy** First: **Renee**

Provide the address of this verifier

Street: **122 Fox Run Ct**

City: **Newington** State: **CT** Country: Zip Code: **06111**

Provide the telephone number for this person

International or DSN: { } Number: **2036310143** Extension: Time:

Optional Comment

This is my mother

-
7. Select your employment activity: **Non-government employment (excluding self-employment)**
Explanation

Provide dates of employment

From (Month/Year): **08/2004** To (Month/Year): **05/2008**

Non-Military Employment

Provide most recent position title: **Work Study**

Select the employment status for this position

Full-time: { }

Part-time: { x }

Provide the name of your employer: **RPI Mathematical Sciences**

Provide the address of employer

Street: **Amos Eaton 110 8th St**

City: **Troy** State: **NY** Country: Zip Code: **12180**

Provide telephone number

International or DSN: { } Number: **5182766345** Extension: Time:

Additional Periods of Activity with this Employer (Not Applicable: { x })

(No Entry Provided)

Non-Military Employment - Physical Location Question

Is/was your physical work address different than your employer's address?
Yes: { } No: { **x** }

Non-Military Employment - Supervisor

Provide the name of your supervisor: **Michele Kronau**

Provide the position title of your supervisor: **Assistant to the Head**

Provide the email address of your supervisor (I don't know: { }) : **kronam@rpi.edu**

Provide the physical work location of your supervisor

Street: **Amos Eaton 110 8th St**

City: **Troy** State: **NY** Country: Zip Code: **12180**

Provide the telephone number for this supervisor

International or DSN: { } Number: **5182766345** Extension: Time:

Reason for Leaving

Provide the reason for leaving the employment activity

Graduated

(End of List)

Summary

List all of your employment activities, including unemployment and self-employment, beginning with the present and working back 10 years. The entire period must be accounted for without breaks. If the employment activity was military duty, list separate employment activity periods to show each change of military duty station. Do not list employment before your 18th birthday unless to provide a minimum of 2 years employment history.

Do you have an additional employment activity to enter?

Yes: { } No: { **x** }

Optional Comment

I didn't include project employment or overlapping jobs listed on my resume

Section 13B - Former Federal Service

Do you have former federal civilian employment, excluding military service, NOT indicated previously, to report?

Yes: { } No: { **x** }

Section 13C - Employment Record

Have any of the following happened to you **in the last seven (7) years** at employment activities that you have not previously listed? (If 'Yes', you will be required to add an additional employment in Section 13A.)

- Fired from a job?
- Quit a job after being told you would be fired?
- Have you left a job by mutual agreement following charges or allegations of misconduct?
- Left a job by mutual agreement following notice of unsatisfactory performance?
- Received a written warning, been officially reprimanded, suspended, or disciplined for misconduct in the workplace, such as violation of a security policy?

Yes: { } No: { **x** }

Section 14 - Selective Service Record

Were you born a male after December 31, 1959?

Yes: ☒ No: ☐

Detail

Have you registered with the Selective Service System (SSS)?

Yes: ☒ No: ☐ I don't know: ☐

Selective Service Registration Number

The Selective Service website, www.sss.gov, can help provide the registration number for persons who have registered.

Note: Selective Service Number is not your Social Security Number

Provide registration number: **8513318793**

Section 15 - Military History

Have you **EVER** served in the U.S. Military?

Yes: ☐ No: ☒

Foreign Military Service

Have you **EVER** served, as a civilian or military member in a foreign country's military, intelligence, diplomatic, security forces, militia, other defense force, or government agency?

Yes: ☐ No: ☒

Section 16 - People Who Know You Well

Provide three people who know you well and who preferably live in the U.S. They should be friends, peers, colleagues, college roommates, associates, etc., who are collectively aware of your activities outside of your workplace, school, or neighborhood, and whose combined association with you covers **at least the last seven (7) years**. Do not list your spouse, former spouse(s), other relatives, or **anyone listed elsewhere on this form**.

-
1. Provide dates known
From (Month/Year): **08/2008** To (Month/Year): **Present**

Provide full name

Last: **Morse** First: **Susan** Middle: **Coleman** Suffix:

Provide rank/title (Not Applicable: ☒) :

Provide relationship to you (Check all that apply)

Neighbor: ☐

Friend: ☒

Work associate: ☐

Schoolmate: ☐

Other (Provide explanation): ☐

Explanation

Provide telephone number for this person (I don't know: ☒)

International or DSN: ☐ Number: Extension: Time:

Provide mobile/cell telephone number for this person (I don't know: ☐)

International or DSN: ☐ Number: **8123273999** Extension: Time:

Provide e-mail address for this person (I don't know: ☐) : **scolemanmorse@gmail.com**

Provide home or work address for this person

Street: **4508 East Morningside Drive Apt 18**
City: **Bloomington** State: **IN** Country: Zip Code: **47408**

2. Provide dates known
From (Month/Year): **10/2013 (Estimated)** To (Month/Year): **Present**
Provide full name
Last: **Canfield** First: **Gina** Middle: **(NMN)** Suffix:
Provide rank/title (Not Applicable: { x }) :
Provide relationship to you (Check all that apply)
Neighbor: { }
Friend: { x }
Work associate: { }
Schoolmate: { }
Other (Provide explanation): { }
Explanation

Provide telephone number for this person (I don't know: { x })
International or DSN: { } Number: Extension: Time:
Provide mobile/cell telephone number for this person (I don't know: { })
International or DSN: { } Number: **3093393034** Extension: Time:
Provide e-mail address for this person (I don't know: { x }) :
Provide home or work address for this person
Street: **24 Essex Ave**
City: **Mackinaw** State: **IL** Country: Zip Code: **61755**
-

3. Provide dates known
From (Month/Year): **04/2014 (Estimated)** To (Month/Year): **Present**
Provide full name
Last: **Cook** First: **Shaun** Middle: **(NMN)** Suffix:
Provide rank/title (Not Applicable: { x }) :
Provide relationship to you (Check all that apply)
Neighbor: { }
Friend: { x }
Work associate: { }
Schoolmate: { }
Other (Provide explanation): { }
Explanation

Provide telephone number for this person (I don't know: { x })
International or DSN: { } Number: Extension: Time:
Provide mobile/cell telephone number for this person (I don't know: { })
International or DSN: { } Number: **2513675056** Extension: Time:
Provide e-mail address for this person (I don't know: { x }) :
Provide home or work address for this person
Street: **445 Cedar Drive**
City: **Columbus** State: **MS** Country: Zip Code: **39705**
-

(End of List)

Summary

Provide three people who know you well and who preferably live in the U.S. They should be friends, peers, colleagues, college roommates, associates, etc., who are collectively aware of your activities outside of your

workplace, school, or neighborhood and whose combined association with you covers **at least the last seven (7) years**. Do not list your spouse, former spouse (s), other relatives, or **anyone listed elsewhere on this form**.

Do you have an additional person who knows you well to list?

Yes: { } No: { **x** }

Section 17 - Marital Status

Provide your current marital status: **Never Married**

Cohabitant

A cohabitant is a person with whom you share bonds of affection, obligation, or other commitment, as opposed to a person with whom you live with for reasons of convenience (e.g. a roommate). If applicable, complete the following about your cohabitant. If your cohabitant was born outside the U.S., provide citizenship information.

Do you presently reside with a cohabitant?

Yes: { } No: { **x** }

Section 18 - Relatives

Select each type of relative applicable to you, regardless if they are living or deceased. (An opportunity will be provided to list multiple relatives for each type.)

Check all that apply

Mother: { **x** }

Father: { **x** }

Stepmother: { }

Stepfather: { }

Foster Parent: { }

Child (including adopted/foster): { }

Stepchild: { }

Brother: { }

Sister: { **x** }

Stepbrother: { }

Stepsister: { }

Half-brother: { }

Half-sister: { }

Father-in-law: { }

Mother-in-law: { }

Guardian: { }

1. Provide relative type: **Sister**
Provide your relative's full name
Last: **Addy** First: **Meredith** Middle: **Ann** Suffix:
Provide your relative's date of birth
Month/Day/Year: **02/23/1984**
Provide your relative's place of birth
City: **Meriden** State: **CT** Country: **United States**
Provide your relative's country(ies) of citizenship

1. Country: **United States**

(End of Provide your relative's country(ies) of citizenship List)

Other Names Used

Has this relative used any other names?

Yes: { } No: { **x** }

Relative Deceased Question

Is your relative deceased?

Yes: { } No: { **x** }

Address

Provide your relative's current address

Street: **122 Fox Run Ct**

City: **Newington** State: **CT** Country: Zip Code: **06111**

-
2. Provide relative type: **Sister**
Provide your relative's full name
Last: **Addy** First: **Elizabeth** Middle: **Rhea** Suffix:
Provide your relative's date of birth
Month/Day/Year: **11/24/1980**
Provide your relative's place of birth
City: **Meriden** State: **CT** Country: **United States**
Provide your relative's country(ies) of citizenship

-
1. Country: **United States**

(End of Provide your relative's country(ies) of citizenship List)

Other Names Used

Has this relative used any other names?

Yes: { } No: { **x** }

Relative Deceased Question

Is your relative deceased?

Yes: { } No: { **x** }

Address

Provide your relative's current address

Street: **870 Park Ave Apt 305**

City: **Capitola** State: **CA** Country: Zip Code: **95010**

-
3. Provide relative type: **Mother**
Provide your relative's full name
Last: **Addy** First: **Renee** Middle: **Dupuis** Suffix:
Provide your relative's date of birth
Month/Day/Year: **09/06/1954**
Provide your relative's place of birth
City: **Meriden** State: **CT** Country: **United States**
Provide your relative's country(ies) of citizenship

1. Country: United States

(End of Provide your relative's country(ies) of citizenship List)

Optional Comment

Her middle name is Elaine. Her maiden name is Dupuis.

Mother's Maiden Name

Provide your mother's maiden name (Same as listed: { x })

Last: First: Middle: Suffix:

Other Names Used

Has this relative used any other names?

Yes: { } No: { x }

Relative Deceased Question

Is your relative deceased?

Yes: { } No: { x }

Address

Provide your relative's current address

Street: **122 Fox Run Ct**

City: **Newington** State: **CT** Country: Zip Code: **06111**

4. Provide relative type: **Father**

Provide your relative's full name

Last: **Addy** First: **Robert** Middle: **Allan** Suffix:

Provide your relative's date of birth

Month/Day/Year: **12/08/1949**

Provide your relative's place of birth

City: **Meriden** State: **CT** Country: **United States**

Provide your relative's country(ies) of citizenship

1. Country: United States

(End of Provide your relative's country(ies) of citizenship List)

Other Names Used

Has this relative used any other names?

Yes: { } No: { x }

Relative Deceased Question

Is your relative deceased?

Yes: { } No: { x }

Address

Provide your relative's current address

Street: **122 Fox Run Ct**

City: **Newington** State: **CT** Country: Zip Code: **06111**

(End of List)

Summary

Do you have an additional relative to enter?

Yes: { } No: { x }

Section 19 - Foreign Contacts

A foreign national is defined as any person who is not a citizen or national of the U.S.

Do you have, or have you had, close and/or continuing contact with a foreign national **within the last seven (7) years** with whom you, or your spouse, or cohabitant are bound by affection, influence, common interests, and/or obligation? Include associates as well as relatives, not previously listed in Section 18.

Yes: { x } No: { }

1. You indicated that you have, or have had, close and/or continuing contact with a foreign national.

Provide the full name of the foreign national, if known (I don't know: { x })

Last: First: Middle: Suffix:

Explanation if name is unknown

I believe his real name is Adnan Rafiuddin, but he prefers to be called Moe. I've never really asked much beyond what "Moe" is short for.

Provide approximate date of first contact

Month/Year: **08/2008**

Provide approximate date of last contact

Month/Year: **09/2015 (Estimated)**

Provide methods of contact (check all that apply)

In person: { x }

Telephone: { x }

Electronic (Such as e-mail, texting, chat rooms, etc.): { x }

Written correspondence: { }

Other (Provide explanation): { }

Explanation

When I was in grad school, I would meet with Moe face-to-face, as we had the same classes and frequently we would be paired up with each other for group projects. Now, I regularly call, text, and email him.

Provide approximate frequency of contact

Daily: { }

Weekly: { }

Monthly: { x }

Quarterly: { }

Annually: { }

Other (Provide explanation): { }

Explanation

I regularly check in with Moe to see how he is doing, and how his work is going.

Provide the nature of the relationship (check all that apply)

Professional or Business: { }

Personal (Such as family ties, friendship, affection, common interests, etc.): { x }

Obligation (Provide explanation): { }

Other (Provide explanation): { }

Explanation

I went to graduate school together with Moe, and we grew to be close friends. We help support each other emotionally and critique and side design work we do in our personal time.

Provide other names and/or nicknames, as appropriate

1. Name

Last: **Rafi** First: **Moe** Middle: **(NMN)** Suffix:

(End of Provide other names and/or nicknames, as appropriate List)

Provide country(ies) of citizenship

1. Country: **Bangladesh**

(End of Provide country(ies) of citizenship List)

Provide date of birth (I don't know: { x })

Month/Day/Year: **~/~/~**

Provide place of birth (I don't know: { x })

City: Country:

Provide current address (I don't know: { x })

Street:

City: State: Country: Zip Code:

Optional Comment

I know Moe was born in Bangladesh and he has citizenship there and is working towards his Green Card. He currently lives in Pittsburgh, PA, USA.

Contact's Employer

Provide the name of the foreign national's current employer, or provide the name of their most recent employer if not currently employed (I don't know: { x })

Provide the address of the foreign national's current employer, or provide the address of their most recent employer if not currently employed (I don't know: { x })

Street:

City: State: Country: Zip Code:

Contact Foreign Military Affiliation

Is this foreign national affiliated with a foreign government, military, security, defense industry, or intelligence service?

Yes: { } No: { x } I don't know: { }

2. Provide the full name of the foreign national, if known (I don't know: { })

Last: **Khanduja** First: **Varun** Middle: **(NMN)** Suffix:

Explanation if name is unknown

Provide approximate date of first contact

Month/Year: **08/2008**

Provide approximate date of last contact

Month/Year: **09/2015**

Provide methods of contact (check all that apply)

In person: { x }

Telephone: { x }

Electronic (Such as e-mail, texting, chat rooms, etc.): { x }

Written correspondence: { }

Other (Provide explanation): { }

Explanation

Varun and I worked at the same employer in Illinois. I originally met him in grad school. I would have him over for dinner occasionally, too.

Provide approximate frequency of contact

Daily: { }

Weekly: { x }

Monthly: { }

Quarterly: { }

Annually: { }

Other (Provide explanation): { }

Explanation

I check in with him to make sure he is doing OK, and how his work and family life is going.

Provide the nature of the relationship (check all that apply)

Professional or Business: { }

Personal (Such as family ties, friendship, affection, common interests, etc.): { x }

Obligation (Provide explanation): { }

Other (Provide explanation): { }

Explanation

He and I both share the same interest in user experience

Provide other names and/or nicknames, as appropriate

(No Entry Provided)

Provide country(ies) of citizenship

1. Country: **India**

(End of Provide country(ies) of citizenship List)

Provide date of birth (I don't know: { x })

Month/Day/Year: ~/~/~

Provide place of birth (I don't know: { x })

City: Country:

Provide current address (I don't know: { x })

Street:

City: State: Country: Zip Code:

Optional Comment

I know Varun's birthday is on July 4, he was born in India, and he currently resides in Memphis, TN, USA.

Contact's Employer

Provide the name of the foreign national's current employer, or provide the name of their most recent employer if not currently employed (I don't know: { })

AutoZone

Provide the address of the foreign national's current employer, or provide the address of their most recent employer if not currently employed (I don't know: { x })

Street:

City: State: Country: Zip Code:

Optional Comment

Varun works in the corporate office of AutoZone in Memphis, TN, and he is currently sponsored by them.

Contact Foreign Military Affiliation

Is this foreign national affiliated with a foreign government, military, security, defense industry, or intelligence service?

Yes: { } No: { x } I don't know: { }

3. Provide the full name of the foreign national, if known (I don't know: { })

Last: **Zhu** First: **Xi** Middle: **(NMN)** Suffix:

Explanation if name is unknown

Provide approximate date of first contact

Month/Year: **08/2008**

Provide approximate date of last contact

Month/Year: **09/2015**

Provide methods of contact (check all that apply)

In person: { x }

Telephone: { x }

Electronic (Such as e-mail, texting, chat rooms, etc.): { x }

Written correspondence: { }

Other (Provide explanation): { }

Explanation

Alex was a mentor of mine in grad school, and he worked at the same employer as I did in Kentucky. After he got a new job in California, I only reach out to him occasionally to see how he is doing.

Provide approximate frequency of contact

Daily: { }

Weekly: { }

Monthly: { }

Quarterly: { }

Annually: { x }

Other (Provide explanation): { }

Explanation

I usually reach out to him once a year at the most now.

Provide the nature of the relationship (check all that apply)

Professional or Business: { }

Personal (Such as family ties, friendship, affection, common interests, etc.): { x }

Obligation (Provide explanation): { }

Other (Provide explanation): { }

Explanation

I am a friend of his, and we share a common interest in user experience

Provide other names and/or nicknames, as appropriate

1. Name

Last: **Zhu** First: **Alex** Middle: **(NMN)** Suffix:

(End of Provide other names and/or nicknames, as appropriate List)

Provide country(ies) of citizenship

1. Country: **China**

(End of Provide country(ies) of citizenship List)

Provide date of birth (I don't know: { x })

Month/Day/Year: ~/~/~

Provide place of birth (I don't know: { x })

City: Country:

Provide current address (I don't know: { x })

Street:

City: State: Country: Zip Code:

Optional Comment

I know Alex was born in China and he currently works for VMWare in California

Contact's Employer

Provide the name of the foreign national's current employer, or provide the name of their most recent employer if not currently employed (I don't know: { })

VMWare

Provide the address of the foreign national's current employer, or provide the address of their most recent employer if not currently employed (I don't know: { x })

Street:

City: State: Country: Zip Code:

Contact Foreign Military Affiliation

Is this foreign national affiliated with a foreign government, military, security, defense industry, or intelligence service?

Yes: { } No: { x } I don't know: { }

4. Provide the full name of the foreign national, if known (I don't know: { })

Last: **EI Youmni** First: **Meriam** Middle: **(NMN)** Suffix:

Explanation if name is unknown

Provide approximate date of first contact

Month/Year: **05/2014**

Provide approximate date of last contact

Month/Year: **09/2015**

Provide methods of contact (check all that apply)

In person: { x }

Telephone: { }

Electronic (Such as e-mail, texting, chat rooms, etc.): { x }

Written correspondence: { }

Other (Provide explanation): { }

Explanation

I write to Meriam through WhatsApp; she worked at the client I used to work with in Illinois

Provide approximate frequency of contact

Daily: { }

Weekly: { }

Monthly: { x }

Quarterly: { }

Annually: { }

Other (Provide explanation): { }

Explanation

I had daily contact with her when I was working in Illinois, but now I check in with her about once a month

Provide the nature of the relationship (check all that apply)

Professional or Business: ☒ { x }

Personal (Such as family ties, friendship, affection, common interests, etc.): ☒ { x }

Obligation (Provide explanation): { }

Other (Provide explanation): { }

Explanation

I check in with her to see if any of the teams she works with needs mentoring or design guidance. I also check in with her to stay aware of what is going on with her life and the lives of other colleagues from Illinois.

Provide other names and/or nicknames, as appropriate

(No Entry Provided)

Provide country(ies) of citizenship

1. Country: **Morocco**

(End of Provide country(ies) of citizenship List)

Provide date of birth (I don't know: ☒ { x })

Month/Day/Year: ~/~/~

Provide place of birth (I don't know: ☒ { x })

City: Country:

Provide current address (I don't know: ☒ { x })

Street:

City: State: Country: Zip Code:

Optional Comment

I know Meriam was born in Morocco, and used to live in New York City for a while before I met her in Illinois. I never received an address from her when I lived in Illinois.

Contact's Employer

Provide the name of the foreign national's current employer, or provide the name of their most recent employer if not currently employed (I don't know: ☒ { x })

Tata Consulting Services (TCS)

Provide the address of the foreign national's current employer, or provide the address of their most recent employer if not currently employed (I don't know: ☒ { x })

Street:

City: State: Country: Zip Code:

Contact Foreign Military Affiliation

Is this foreign national affiliated with a foreign government, military, security, defense industry, or intelligence service?

Yes: { } No: ☒ { x } I don't know: { }

5. Provide the full name of the foreign national, if known (I don't know: ☒ { x })

Last: **Raut** First: **Pabitra** Middle: **Kumar** Suffix:

Explanation if name is unknown

Provide approximate date of first contact

Month/Year: **08/2014**

Provide approximate date of last contact

Month/Year: **09/2015**

Provide methods of contact (check all that apply)

In person: ☒ { x }

Telephone: { }

Electronic (Such as e-mail, texting, chat rooms, etc.): { x }

Written correspondence: { }

Other (Provide explanation): { }

Explanation

I used to work with Pabi in Illinois, but now I will occasionally will talk to him through WhatsApp

Provide approximate frequency of contact

Daily: { }

Weekly: { }

Monthly: { x }

Quarterly: { }

Annually: { }

Other (Provide explanation): { }

Explanation

I used to work with Pabi on a daily basis, but now it is about monthly through WhatsApp

Provide the nature of the relationship (check all that apply)

Professional or Business: { }

Personal (Such as family ties, friendship, affection, common interests, etc.): { x }

Obligation (Provide explanation): { }

Other (Provide explanation): { }

Explanation

We share a common interest in user experience

Provide other names and/or nicknames, as appropriate

1. Name

Last: **Raut** First: **Pabi** Middle: **Kumar** Suffix:

(End of Provide other names and/or nicknames, as appropriate List)

Provide country(ies) of citizenship

1. Country: **India**

(End of Provide country(ies) of citizenship List)

Provide date of birth (I don't know: { x })

Month/Day/Year: ~/~/~

Provide place of birth (I don't know: { x })

City: Country:

Provide current address (I don't know: { x })

Street:

City: State: Country: Zip Code:

Optional Comment

I know Pabi is a citizen of India and he has an apartment in Bloomington, Illinois

Contact's Employer

Provide the name of the foreign national's current employer, or provide the name of their most recent employer if not currently employed (I don't know: { })

Tata Consulting Services (TCS)

Provide the address of the foreign national's current employer, or provide the address of their most recent employer if not currently employed (I don't know: { x })

Street:

City: State: Country: Zip Code:

Contact Foreign Military Affiliation

Is this foreign national affiliated with a foreign government, military, security, defense industry, or intelligence service?

Yes: { } No: ☒ I don't know: { }

6. Provide the full name of the foreign national, if known (I don't know: { })

Last: **Zhao** First: **Yujia** Middle: **(NMN)** Suffix:

Explanation if name is unknown

Provide approximate date of first contact

Month/Year: **08/2008**

Provide approximate date of last contact

Month/Year: **11/2011 (Estimated)**

Provide methods of contact (check all that apply)

In person: ☒

Telephone: { }

Electronic (Such as e-mail, texting, chat rooms, etc.): ☒

Written correspondence: { }

Other (Provide explanation): { }

Explanation

I met Yujia in grad school, and we had the same classes together. I grew to be friends with her and her husband Chad, and I attended their wedding.

Provide approximate frequency of contact

Daily: { }

Weekly: { }

Monthly: { }

Quarterly: { }

Annually: { }

Other (Provide explanation): ☒

Explanation

I usually interact with her now whenever I get a chance to catch up with her husband, Chad. I was going to see both of them in Sept '15, but that was cancelled due to me moving to New Mexico. The last time I remember talking to her in person was at her wedding in 2011.

Provide the nature of the relationship (check all that apply)

Professional or Business: { }

Personal (Such as family ties, friendship, affection, common interests, etc.): ☒

Obligation (Provide explanation): { }

Other (Provide explanation): { }

Explanation

I became friends with her through a mutual friend, Chad, and that we went to grad school together.

Provide other names and/or nicknames, as appropriate

(No Entry Provided)

Provide country(ies) of citizenship

1. Country: **China**

(End of Provide country(ies) of citizenship List)

Provide date of birth (I don't know: ☒)

Month/Day/Year: ~/~/~

Provide place of birth (I don't know: { x })

City: Country:

Provide current address (I don't know: { x })

Street:

City: State: Country: Zip Code:

Contact's Employer

Provide the name of the foreign national's current employer, or provide the name of their most recent employer if not currently employed (I don't know: { })

Yujia is a freelance user experience designer in the suburbs of Chicago, IL.

Provide the address of the foreign national's current employer, or provide the address of their most recent employer if not currently employed (I don't know: { x })

Street:

City: State: Country: Zip Code:

Contact Foreign Military Affiliation

Is this foreign national affiliated with a foreign government, military, security, defense industry, or intelligence service?

Yes: { } No: { x } I don't know: { }

7. Provide the full name of the foreign national, if known (I don't know: { })

Last: **Wang** First: **Xuan** Middle: **(NMN)** Suffix:

Explanation if name is unknown

Provide approximate date of first contact

Month/Year: **08/2008**

Provide approximate date of last contact

Month/Year: **07/2014**

Provide methods of contact (check all that apply)

In person: { x }

Telephone: { }

Electronic (Such as e-mail, texting, chat rooms, etc.): { x }

Written correspondence: { }

Other (Provide explanation): { }

Explanation

Xuan and I went to the same grad school for a user experience degree.

Provide approximate frequency of contact

Daily: { }

Weekly: { }

Monthly: { }

Quarterly: { }

Annually: { x }

Other (Provide explanation): { }

Explanation

I usually talk with Xuan when I talk to her husband, Burlington (Burr). They live in Silicon Valley, California.

Provide the nature of the relationship (check all that apply)

Professional or Business: { }

Personal (Such as family ties, friendship, affection, common interests, etc.): { x }

Obligation (Provide explanation): { }

Other (Provide explanation): { }

Explanation

We share a common interest in video games and user experience

Provide other names and/or nicknames, as appropriate

(No Entry Provided)

Provide country(ies) of citizenship

1. Country: **China**

(End of Provide country(ies) of citizenship List)

Provide date of birth (I don't know: { x })

Month/Day/Year: ~/~/~

Provide place of birth (I don't know: { x })

City: Country:

Provide current address (I don't know: { x })

Street:

City: State: Country: Zip Code:

Contact's Employer

Provide the name of the foreign national's current employer, or provide the name of their most recent employer if not currently employed (I don't know: { x })

Provide the address of the foreign national's current employer, or provide the address of their most recent employer if not currently employed (I don't know: { x })

Street:

City: State: Country: Zip Code:

Contact Foreign Military Affiliation

Is this foreign national affiliated with a foreign government, military, security, defense industry, or intelligence service?

Yes: { } No: { x } I don't know: { }

(End of List)

Summary

Do you have, or have you had, close and/or continuing contact with any additional foreign national **within the last seven (7) years** with whom you, or your spouse, or cohabitant are bound by affection, influence, common interests, and/or obligation? Include associates as well as relatives, not previously listed in Section 18.

Yes: { } No: { x }

Section 20A - Foreign Activities

Have you, your spouse, cohabitant, or dependent children **EVER** had any foreign financial interests (such as stocks, property, investments, bank accounts, ownership of corporate entities, corporate interests or businesses) in which you or they have direct control or direct ownership? (Exclude financial interests in companies or diversified mutual funds that are publicly traded on a U.S. exchange.)

Yes: { } No: { x }

Foreign Financial Interests Controlled on Your Behalf

Have you, your spouse, cohabitant, or dependent children **EVER** had any foreign financial interests that someone controlled on your behalf?

Yes: { } No: { x }

Foreign Financial Interests Real Estate

Have you, your spouse, cohabitant, or dependent children **EVER** owned, or do you anticipate owning, or plan to purchase real estate in a foreign country?

Yes: { } No: { x }

Foreign Financial Interests - Foreign Benefit

As a U.S. citizen, have you, your spouse, cohabitant, or dependent children received **in the past seven (7) years**, or are eligible to receive in the future, any educational, medical, retirement, social welfare, or other such benefit from a foreign country?

Yes: { } No: { x }

Foreign Financial Interests - Foreign National Support

Have you **EVER** provided financial support for any foreign national?

Yes: { } No: { x }

Section 20B - Foreign Business, Professional Activities, and Foreign Government Contacts

Have you **in the past seven (7) years** provided advice or support to any individual associated with a foreign business or other foreign organization that you have not previously listed as a former employer? (Answer 'No' if **all** your advice or support was authorized pursuant to official U.S. Government business.)

Yes: { x } No: { }

-
1. You responded 'Yes' to having **in the past seven (7) years** provided advice or support to any individual associated with a foreign business or other foreign organization that you have not previously listed as a former employer.

Provide a description of advice/support provided

I provided a design critique and review of a potential product a colleague was making for use to help traffic in India. Since it was for a start up, I didn't know the name of the business.

Provide the name of the individual to whom advice or support was provided

Last: **Khanduja** First: **Varun** Middle: **(NMN)** Suffix:

Provide the name of the foreign organization or foreign business with whom the individual is associated:
start up

Provide the country of origin for the organization or business: **India**

Provide the date(s) during which this advice or support was provided

From (Month/Year): **03/2015 (Estimated)** To (Month/Year): **03/2015 (Estimated)**

Describe what compensation, if any, was provided for your service

No compensation was provided

Optional Comment

This was a one-time review of his product. I occasionally heard more updates (and got to see progress of the product) about his start up, but no other advice/help was asked for

(End of List)

Foreign Business/Organization Advice/Support - Summary

Have you **in the past seven (7) years** provided advice or support to any other individual associated with a foreign business or other foreign organization that you have not previously listed as a former employer? (Answer 'No' if **all** your advice or support was authorized pursuant to official U.S. Government business.)

Yes: { } No: { x }

Foreign Consulting

For this question, 'Immediate Family' means your spouse, parents, step-parents, siblings, half and step-siblings, children, step-children, and cohabitant.

Have you, your spouse, cohabitant, or any member of your immediate family **in the past seven (7) years** been asked to provide advice or serve as a consultant, even informally, by any foreign government official or agency? (Answer 'No' if **all** the advice or support was authorized pursuant to official U.S. Government business.)

Yes: { } No: { x }

Foreign National Job Offer

Has any foreign national **in the past seven (7) years** offered you a job, asked you to work as a consultant, or consider employment with them?

Yes: { } No: { x }

Optional Comment

This is similar to my answer earlier of providing a design critique of a colleague's product. I was not compensated for my time. I didn't consider the review consulting work.

Other Foreign Business Ventures

Have you **in the past seven (7) years** been involved in any other type of business venture with a foreign national not described above (own, co-own, serve as business consultant, provide financial support, etc.)?

Yes: { } No: { x }

Foreign Conferences, Trade Shows, Seminars, and Meetings

Have you **in the past seven (7) years** attended or participated in any conferences, trade shows, seminars, or meetings outside the U.S.? (Do not include those you attended or participated in on official business for the U.S. government.)

Yes: { x } No: { }

1. You responded 'Yes' to **in the past seven (7) years** having attended or participated in any conferences, trade shows, seminars, or meetings outside the U.S.

Provide the name and description of event

Interactive Tabletops and Surfaces 2009

Provide the name of sponsoring organization: **ACM**

Provide the city where the event was held: **Calgary**

Provide the country where the event was held: **Canada**

Provide the dates for the event

From (Month/Year): **11/2009** To (Month/Year): **11/2009**

Provide the purpose of the event

I presented research on behalf of two teams from my university at this conference.

Foreign Conferences, Trade Shows, Seminars, and Meetings - Subsequent Contact

Was there any subsequent contact with any foreign nationals as a result of the event?

Yes: { } No: { x }

(End of List)

Foreign Conferences, Trade Shows, Seminars, and Meetings - Summary

Have you **in the past seven (7) years**, attended or participated in any additional conferences, trade show, seminars, or meetings outside the U.S.? (Do not include those you attended or participated in on official business for the U.S. government).

Yes: { } No: { x }

Foreign Government Contact

For Section 20B, 'Immediate Family' means your spouse, parents, step-parents, siblings, half and step-siblings, children, step-children, and cohabitant.

Have you or any member of your immediate family **in the past seven (7) years** had any contact with a foreign government, its establishment (such as embassy, consulate, agency, military service, intelligence or security service, etc.) or its representatives, whether inside or outside the U.S.? (Answer 'No' if the contact was for routine visa applications and border crossings related to either official U.S. Government travel or foreign travel on a U.S. passport.)

Yes: { } No: { x }

Sponsorship of a Foreign National

Have you **in the past seven (7) years** sponsored any foreign national to come to the U.S. as a student, for work, or for permanent residence?

Yes: { } No: { x }

Holding Foreign Political Office

Have you **EVER** held political office in a foreign country?

Yes: { } No: { x }

Voting in a Foreign Election

Have you **EVER** voted in the election of a foreign country?

Yes: { } No: { x }

Section 20C - Foreign Travel

Have you traveled outside the U.S. **in the last seven (7) years**?

Yes: { x } No: { }

U.S. Government Business Travel

Has your travel **in the last seven (7) years** been **solely** for U.S. Government business (i.e., no personal trips in conjunction with the official U.S. Government business)?

Yes: { } No: { **x** }

1. You responded 'Yes' to having traveled outside the U.S. **in the last seven (7) years** for other than **solely** U.S. Government business. Provide information about all such trips made outside the United States including personal trips made in conjunction with official U.S. Government business.

Provide the country visited: **Canada**

Provide the dates of your travel to this country

From (Month/Year): **11/2009 (Estimated)** To (Month/Year): **11/2009 (Estimated)**

Provide the total number of days involved in the visit

1-5: { **x** }

6-10: { }

11-20: { }

21-30: { }

More than 30: { }

Many short trips: { }

Provide the purpose of the travel to this country (check all that apply)

Business/Professional conference: { **x** }

Volunteer activities: { }

Education: { }

Tourism: { }

Trade shows, conferences, and seminars: { }

Visit family or friends: { }

Other: { }

While traveling to, or in this country, were you questioned, searched, or otherwise detained (other than for normal customs requirements) by the local customs or security service officials when entering or leaving this country?

Yes: { } No: { **x** }

If 'Yes' provide explanation

While traveling to or in this country, were you involved in any encounter with the police?

Yes: { } No: { **x** }

If 'Yes' provide explanation

While traveling to or in this country, were you contacted by, or in contact with any person known or suspected of being involved or associated with foreign intelligence, terrorist, security, or military organizations?

Yes: { } No: { **x** }

If 'Yes' provide explanation

While traveling to, or in this country, were you involved in any counterintelligence or security issues not reported?

Yes: ☐ No: ☒

If 'Yes' provide explanation

While traveling to or in this country, were you contacted by, or in contact with anyone exhibiting excessive knowledge of or undue interest in you or your job?

Yes: ☐ No: ☒

If 'Yes' provide explanation

While traveling to or in this country, were you contacted by, or in contact with anyone attempting to obtain classified information or unclassified, sensitive information?

Yes: ☐ No: ☒

If 'Yes' provide explanation

While traveling to, or in this country, were you threatened, coerced, or pressured in any way to cooperate with a foreign government official or foreign intelligence or security service?

Yes: ☐ No: ☒

If 'Yes' provide explanation

(End of List)

Foreign Countries You Have Visited - Summary

Respond for the time frame **of the last seven (7) years**, beginning with the most recent and working backwards (Do not list trips that **ONLY** involved travel on official U.S. Government business, but you must include any personal trips made in conjunction with the official U.S. Government travel).

Do you have additional travel outside the U.S. **in the last seven (7) years** for other than **solely** U.S. Government business?

Yes: ☐ No: ☒

Section 21 - Psychological and Emotional Health

Mental health counseling in and of itself **is not a reason** to revoke or deny eligibility for access to classified information or for a sensitive position, suitability or fitness to obtain or retain Federal employment, fitness to obtain or retain contract employment, or eligibility for physical or logical access to federally controlled facilities or information systems.

In the last seven (7) years, have you consulted with a health care professional regarding an emotional or mental health condition or were you hospitalized for such a condition? Answer 'No' if the counseling was for any of the following reasons and was not court-ordered:

- strictly marital, family, grief not related to violence by you; or
- strictly related to adjustments from service in a military combat environment.

Please respond to this question with the following additional instruction: Victims of sexual assault who have consulted with a health care professional regarding an emotional or mental health condition during this period strictly in relation to the sexual assault are instructed to answer 'No'.

Yes: { } No: { x }

Section 22 - Police Record

For this section report information regardless of whether the record in your case has been sealed, expunged, or otherwise stricken from the court record, or the charge was dismissed. You need not report convictions under the Federal Controlled Substances Act for which the court issued an expungement order under the authority of 21 U.S.C. 844 or 18 U.S.C. 3607. Be sure to include all incidents whether occurring in the U.S. or abroad.

Police Record

Have any of the following happened? (If 'Yes' you will be asked to provide details for each offense that pertains to the actions that are identified below.)

- **In the past seven (7) years** have you been issued a summons, citation, or ticket to appear in court in a criminal proceeding against you? (Do not check if all the citations involved traffic infractions where the fine was less than \$300 and did not include alcohol or drugs)
- **In the past seven (7) years** have you been arrested by any police officer, sheriff, marshal or any other type of law enforcement official?
- **In the past seven (7) years** have you been charged, convicted, or sentenced of a crime in any court? (Include all qualifying charges, convictions or sentences in any Federal, state, local, military, or non-U.S. court, even if previously listed on this form).
- **In the past seven (7) years** have you been or are you currently on probation or parole?
- Are you currently on trial or awaiting a trial on criminal charges?

Yes: { } No: { x }

Police Record (EVER)

Other than those offenses already listed, have you **EVER** had the following happen to you?

- Have you **EVER** been convicted in any court of the United States of a crime, sentenced to imprisonment for a term exceeding 1 year for that crime, and incarcerated as a result of that sentence for not less than 1 year? (Include all qualifying convictions in Federal, state, local, or military court, even if previously listed on this form)
- Have you **EVER** been charged with any felony offense? (Include those under the Uniform Code of Military Justice and non-military/civilian felony offenses)
- Have you **EVER** been convicted of an offense involving domestic violence or a crime of violence (such as battery or assault) against your child, dependent, cohabitant, spouse, former spouse, or someone with whom you share a child in common?
- Have you **EVER** been charged with an offense involving firearms or explosives?
- Have you **EVER** been charged with an offense involving alcohol or drugs?

Yes: { } No: { x }

Domestic Violence Protective Order

Is there currently a domestic violence protective order or restraining order issued against you?

Yes: { } No: { x }

Section 23 - Illegal Use of Drugs or Drug Activity

We note, with reference to this section, that neither your truthful responses nor information derived from your responses to this section will be used as evidence against you in a subsequent criminal proceeding. As to this particular section, this applies whether or not you are currently employed by the Federal government. The following questions pertain to the illegal use of drugs or controlled substances or drug or controlled substance activity.

Illegal Use of Drugs or Controlled Substances

In the last seven (7) years, have you illegally used any drugs or controlled substances? Use of a drug or controlled substance includes injecting, snorting, inhaling, swallowing, experimenting with or otherwise consuming any drug or controlled substance.

Yes: { } No: { x }

Illegal Drug Activity

In the last seven (7) years, have you been involved in the illegal purchase, manufacture, cultivation, trafficking, production, transfer, shipping, receiving, handling or sale of any drug or controlled substance?

Yes: { } No: { x }

While Possessing a Security Clearance

Have you **EVER** illegally used or otherwise been involved with a drug or controlled substance while possessing a security clearance other than previously listed?

Yes: { } No: { x }

Employed as Law Enforcement

Have you **EVER** illegally used or otherwise been involved with a drug or controlled substance while employed as a law enforcement officer, prosecutor, or courtroom official; or while in a position directly and immediately affecting the public safety other than previously listed?

Yes: { } No: { x }

Misuse of Prescription Drugs

In the last seven (7) years have you intentionally engaged in the misuse of prescription drugs, regardless of whether or not the drugs were prescribed for you or someone else?

Yes: { } No: { x }

Treatment for the Use of Drugs

Have you **EVER** been ordered, advised, or asked to seek counseling or treatment as a result of your illegal use of drugs or controlled substances?

Yes: { } No: { x }

Voluntary Treatment

Have you **EVER** voluntarily sought counseling or treatment as a result of your use of a drug or controlled substance?

Yes: { } No: { x }

Section 24 - Use of Alcohol

In the last seven (7) years has your use of alcohol had a negative impact on your work performance, your professional or personal relationships, your finances, or resulted in intervention by law enforcement/public safety personnel?

Yes: { } No: { **x** }

Ordered to Seek Counseling

Have you **EVER** been ordered, advised, or asked to seek counseling or treatment as a result of your use of alcohol?

Yes: { } No: { **x** }

Sought Counseling or Treatment

Have you **EVER** voluntarily sought counseling or treatment as a result of your use of alcohol?

Yes: { } No: { **x** }

EVER Received Counseling/Treatment

Have you **EVER** received counseling or treatment as a result of your use of alcohol in addition to what you have already listed on this form?

Yes: { } No: { **x** }

Section 25 - Investigations and Clearance Record

Has the U.S. Government (or a foreign government) **EVER** investigated your background and/or granted you a security clearance eligibility/access?

Yes: { } No: { **x** }

Denied Clearance

Have you **EVER** had a security clearance eligibility/access authorization denied, suspended, or revoked? (Note: An administrative downgrade or administrative termination of a security clearance is not a revocation.)

Yes: { } No: { **x** }

Government Debarment

Have you **EVER** been debarred from government employment?

Yes: { } No: { **x** }

Section 26 - Financial Record

In the last seven (7) years have you filed a petition under any chapter of the bankruptcy code?

Yes: { } No: { **x** }

Gambling

Have you **EVER** experienced financial problems due to gambling?

Yes: { } No: { x }

Taxes

In the past seven (7) years have you failed to file or pay Federal, state, or other taxes when required by law or ordinance?

Yes: { } No: { x }

Employer Travel or Credit Card

In the past seven (7) years have you been counseled, warned, or disciplined for violating the terms of agreement for a travel or credit card provided by your employer?

Yes: { } No: { x }

Assistance for Financial Difficulties

Are you currently utilizing, or seeking assistance from, a credit counseling service or other similar resource to resolve your financial difficulties?

Yes: { } No: { x }

Delinquency Involving Enforcement

Other than previously listed, have any of the following happened to you? (You will be asked to provide details about each financial obligation that pertains to the items identified below)

- **In the past seven (7) years**, you have been delinquent on alimony or child support payments.
- **In the past seven (7) years**, you had a judgment entered against you. (Include financial obligations for which you were the sole debtor, as well as those for which you were a cosigner or guarantor).
- **In the past seven (7) years**, you had a lien placed against your property for failing to pay taxes or other debts. (Include financial obligations for which you were the sole debtor, as well as those for which you were a cosigner or guarantor).
- You are currently delinquent on any Federal debt. (Include financial obligations for which you are the sole debtor, as well as those for which you are a cosigner or guarantor).

Yes: { } No: { x }

Delinquency Involving Routine Accounts

Other than previously listed, have any of the following happened?

- **In the past seven (7) years**, you had any possessions or property voluntarily or involuntarily repossessed or foreclosed? (Include financial obligations for which you were the sole debtor, as well as those for which you were a cosigner or guarantor)
- **In the past seven (7) years**, you defaulted on any type of loan? (Include financial obligations for which you were the sole debtor, as well as those for which you were a cosigner or guarantor)
- **In the past seven (7) years**, you had bills or debts turned over to a collection agency? (Include financial obligations for which you were the sole debtor, as well as those for which you were a cosigner or guarantor)

- **In the past seven (7) years**, you had any account or credit card suspended, charged off, or cancelled for failing to pay as agreed? (Include financial obligations for which you were the sole debtor, as well as those for which you were a cosigner or guarantor)
 - **In the past seven (7) years**, you were evicted for non-payment?
 - **In the past seven (7) years**, you had your wages, benefits, or assets garnished or attached for any reason?
 - **In the past seven (7) years**, you have been over 120 days delinquent on any debt not previously entered? (Include financial obligations for which you were the sole debtor, as well as those for which you were a cosigner or guarantor)
 - You are currently over 120 days delinquent on any debt? (Include financial obligations for which you are the sole debtor, as well as those for which you are a cosigner or guarantor)
- Yes: { } No: { x }

Section 27 - Use of Information Technology Systems

We note, with reference to this section, that neither your truthful responses nor information derived from your responses to this section will be used as evidence against you in a subsequent criminal proceeding. As to this particular section, this applies whether or not you are currently employed by the Federal government. The following questions ask about your use of information technology systems. Information technology systems include all related computer hardware, software, firmware, and data used for the communication, transmission, processing, manipulation, storage or protection of information.

Unauthorized Access

In the last seven (7) years have you illegally or without proper authorization accessed or attempted to access any information technology system?

Yes: { } No: { x }

Modified, Destroyed, Manipulated or Denied Access

In the last seven (7) years have you illegally or without authorization, modified, destroyed, manipulated, or denied others access to information residing on an information technology system or attempted any of the above?

Yes: { } No: { x }

Unauthorized / Unlawful Use

In the last seven (7) years have you introduced, removed, or used hardware, software, or media in connection with any information technology system without authorization, when specifically prohibited by rules, procedures, guidelines, or regulations or attempted any of the above?

Yes: { } No: { x }

Section 28 - Non-Criminal Court Actions

In the last ten (10) years, have you been a party to any public record civil court action not listed elsewhere on this form?

Yes: { } No: { x }

Section 29 - Association Record

The following pertain to your associations. You are required to answer the questions fully and truthfully, and your failure to do so could be grounds for an adverse employment, security, or credentialing decision. For the purpose of this question, terrorism is defined as any criminal acts that involve violence or are dangerous to human life

and appear to be intended to intimidate or coerce a civilian population to influence the policy of a government by intimidation or coercion, or to affect the conduct of a government by mass destruction, assassination or kidnapping.

Terrorist Organization

Are you now or have you **EVER** been a member of an organization dedicated to terrorism, either with an awareness of the organization's dedication to that end, or with the specific intent to further such activities?

Yes: { } No: { x }

Knowingly Engaged in Terrorism

Have you **EVER** knowingly engaged in any acts of terrorism?

Yes: { } No: { x }

Advocating Acts

Have you **EVER** advocated any acts of terrorism or activities designed to overthrow the U.S. Government by force?

Yes: { } No: { x }

Member of Organization

Have you **EVER** been a member of an organization dedicated to the use of violence or force to overthrow the United States Government, and which engaged in activities to that end with an awareness of the organization's dedication to that end or with the specific intent to further such activities?

Yes: { } No: { x }

Member of Organization Advocating Violence

Have you **EVER** been a member of an organization that advocates or practices commission of acts of force or violence to discourage others from exercising their rights under the U.S. Constitution or any state of the United States with the specific intent to further such action?

Yes: { } No: { x }

Activities Designed to Overthrow the U.S. Government

Have you **EVER** knowingly engaged in activities designed to overthrow the U.S. Government by force?

Yes: { } No: { x }

Associations

Have you **EVER** associated with anyone involved in activities to further terrorism?

Yes: { } No: { x }

Additional Comments

Use the space below to continue answers to all other items and to provide any information you would like to add. Before each answer, identify the number of the item.

Additional Comments

Someone stole cash from my apartment in Illinois. I was contacted by the District Attorney and was added to the list of people who would receive retribution from the court when the defendant was found guilty. I believe I am listed on the public record of the case and wanted to add that to this report, since the question related to non-criminal cases seemed like I should provide that information as part of this form.

Note: If you do not have any additional comments to provide, click "Save" to continue.